

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
LO, et al

Application No.: 09/828,311

Filed: April 6, 2001

For: DIGITAL AUTOMATIC GAIN  
CONTROL OF A MULTILEVEL  
OPTICAL DISC READ SIGNAL

Atty. Docket No.: CALMP016

Examiner: Unassigned

Group: 2151

Date: September 7, 2001

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on September 7, 2001.

Signed: \_\_\_\_\_

SECRETARY

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§1.56 AND 1.97(c) BEFORE MAILING OF A FIRST OFFICE ACTION

Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

The references listed in the attached PTO Form 1449, copies of which are attached, may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these references of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

Attny Dkt No. CALMP016

This Information Disclosure Statement is believed to be filed before the mailing date of a first Office Action on the merits. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 50-0685 (Order No. CALMP016).

Respectfully submitted,

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**Information Disclosure  
Statement By Applicant**

Application No.: 09/828,311

Inventor: , et al

Group: 2151

Filing Date: April 6, 2001

(Use Several Sheets if Necessary)

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**U.S. Patent Documents**

| Examiner Initial | No. | Patent No. | Date         | Patentee           | Class | Sub-class | Filing Date   |
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**Foreign Patent or Published Foreign Patent Application**

| Examiner Initial | No. | Document No. | Publication Date | Country or Patent Office | Class | Sub-class | Translation |    |
|------------------|-----|--------------|------------------|--------------------------|-------|-----------|-------------|----|
|                  |     |              |                  |                          |       |           | Yes         | No |
|                  | L   |              |                  |                          |       |           |             |    |
|                  | O   |              |                  |                          |       |           |             |    |

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| Examiner         |     | Date Considered   |

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.